

# Responsible Roles

Every control should have one or more responsible roles identified.

## ▲ AU-5 Response to Audit Logging Process Failures (L)(M)(H)

- a. Alert [Assignment: organization-defined personnel or roles] within [Assignment: organization-defined [time period](#)] in the event of an audit logging process failure; and
- b. Take the following additional actions: [FedRAMP Assignment: overwrite oldest record].

AU-5 Control Summary Information
Responsible Role:
Parameter AU-5(a)-1:
Parameter AU-5(a)-2:
Parameter AU-5(b):
Implementation Status (check all that apply): <input type="checkbox"/> Implemented <input type="checkbox"/> Partially Implemented <input type="checkbox"/> Planned <input type="checkbox"/> Alternative implementation <input type="checkbox"/> Not Applicable
Control Origination (check all that apply): <input type="checkbox"/> Service Provider Corporate <input type="checkbox"/> Service Provider System Specific <input type="checkbox"/> Service Provider Hybrid (Corporate and System Specific) <input type="checkbox"/> Configured by Customer (Customer System Specific) <input type="checkbox"/> Provided by Customer (Customer System Specific) <input type="checkbox"/> Shared (Service Provider and Customer Responsibility) <input type="checkbox"/> Inherited from pre-existing FedRAMP Authorization for [Click here to enter text], Date of Authorization

In OSCAL, there are three possible sources for responsible roles:

- **By Control:** (Retrofit MVP only) assign responsible roles to the `implemented-requirement` for the entire control
- **By Component (Implied):** infer responsible roles from the components cited in the `by-component` array
- **By Component (Explicit):** assign responsible roles to the `statement` / `by-component` array

## Retrofit Adoption Path: MVP

When initially converting a Word-based FedRAMP SSP to OSCAL, assign all roles *by control* to the `implemented-requirements/responsible-roles` array. This aligns with the FedRAMP Word-based SSP template.

As the SSP is migrated to a normalized approach using components, the assignment of roles is moved from the entire control to statement-level, component responses.

With fully normalized OSCAL content, responsible roles are inferred via the components associated with a control via `statements/by-components`. Each associated component SHOULD have `owner` and `administrator` responsible roles and linked to specific parties (teams or individuals).

If additional roles need to be cited, they are explicitly assigned to `by-components/responsible-roles`. If an explicitly needed role does not associate cleanly to a specific component, it is assigned to the `by-components/responsible-roles` entry for *this system* (component `type = this-system`).

## WORKING HERE

### Representation

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