

Responsible Roles

Every control should have one or more responsible roles identified.

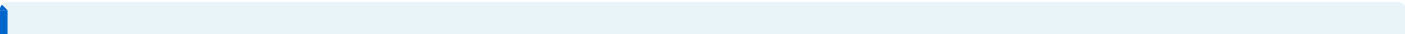
▲ AU-5 Response to Audit Logging Process Failures (L)(M)(H)

- a. Alert [Assignment: organization-defined personnel or roles] within [Assignment: organization-defined time period] in the event of an audit logging process failure; and
- b. Take the following additional actions: [FedRAMP Assignment: overwrite oldest record].

AU-5 Control Summary Information
Responsible Role:
Parameter AU-5(a)-1:
Parameter AU-5(a)-2:
Parameter AU-5(b):
Implementation Status (check all that apply): ☐ Implemented ☐ Partially Implemented ☐ Planned ☐ Alternative implementation ☐ Not Applicable
Control Origination (check all that apply): ☐ Service Provider Corporate ☐ Service Provider System Specific ☐ Service Provider Hybrid (Corporate and System Specific) ☐ Configured by Customer (Customer System Specific) ☐ Provided by Customer (Customer System Specific) ☐ Shared (Service Provider and Customer Responsibility) ☐ Inherited from pre-existing FedRAMP Authorization for [Click here to enter text], Date of Authorization

In OSCAL, there are three possible sources for responsible roles:

- **By Control:** (Retrofit MVP only) assign responsible roles to the `implemented-requirement` for the entire control
- **By Component (Implied):** infer responsible roles from the components cited in the `by-component` array
- **By Component (Explicit):** assign responsible roles to the `statement` / `by-component` array



Retrofit Adoption Path: MVP

When initially converting a Word-based FedRAMP SSP to OSCAL, assign all roles *by control* to the `implemented-requirements / responsible-roles` array. This aligns with the FedRAMP Word-based SSP template.

As the SSP is migrated to a normalized approach using components, the assignment of roles is moved from the entire control to statement-level, component responses.

With fully normalized OSCAL content, responsible roles are inferred via the components associated with a control via `statements / by-components`. Each associated component SHOULD have `owner` and `administrator` responsible roles and linked to specific parties (teams or individuals).

If additional roles need to be cited, they are explicitly assigned to `by-components / responsible-roles`. If an explicitly needed role does not associate cleanly to a specific component, it is assigned to the `by-components / responsible-roles` entry for *this system* (component `type = this-system`).

WORKING HERE

Representation

Revision #4

Created 2026-02-12 00:21:34 UTC by Brian Ruf

Updated 2026-04-09 03:11:37 UTC by Brian Ruf